



Commonwealth of Massachusetts  
**MassHealth Drug Utilization Review Program**  
 P.O. Box 2586, Worcester, MA 01613-2586  
 Fax: 1-877-208-7428 Phone: 1-800-745-7318

## Antipsychotic Prior Authorization Request

MassHealth reviews requests for prior authorization (PA) on the basis of medical necessity only. If MassHealth approves the request, payment is still subject to all general conditions of MassHealth, including current member eligibility, other insurance, and program restrictions. MassHealth will notify the requesting provider and member of its decision. Keep a copy of this form for your records. If faxing this form, please use black ink.

Additional information about antipsychotics and the **Pediatric Behavioral Health Medication Initiative**, including PA requirements, a complete list of all behavioral health medications, and preferred products, can be found within the MassHealth Drug List at [www.mass.gov/druglist](http://www.mass.gov/druglist). The related PA form is available at: **Pediatric Behavioral Health Medication Initiative PA Request Form**.

### Member information

Last name \_\_\_\_\_ First name \_\_\_\_\_ MI \_\_\_\_\_  
 MassHealth member ID # \_\_\_\_\_ Date of birth \_\_\_\_\_  
 Gender (Check one.)  F  M Member's place of residence  home  nursing facility

### Medication information

#### Section I. Monotherapy

**Please select the medication(s) being requested along with dose and frequency.**

- |   |   |
|---|---|
| <input type="checkbox"/> Abilify Maintena (aripiprazole extended-release injection) | <input type="checkbox"/> paliperidone tablet              |
| <input type="checkbox"/> aripiprazole ODT   | <input type="checkbox"/> quetiapine extended-release      |
| <input type="checkbox"/> aripiprazole solution                                      | <input type="checkbox"/> Rexulti (brexpiprazole)          |
| <input type="checkbox"/> clozapine ODT  | <input type="checkbox"/> risperidone ODT                  |
| <input type="checkbox"/> Fanapt (iloperidone)                                       | <input type="checkbox"/> Saphris (asenapine)              |
| <input type="checkbox"/> Latuda (lurasidone)  | <input type="checkbox"/> Versacloz (clozapine suspension) |
| <input type="checkbox"/> olanzapine ODT   | <input type="checkbox"/> Vraylar (cariprazine)            |
|   | <input type="checkbox"/> Other _____                      |

Dose and frequency \_\_\_\_\_

**Please select the indication(s) for the requested medication(s).**

- |   |   |
|---|---|
| <input type="checkbox"/> Bipolar disorder                               | <input type="checkbox"/> Schizophrenia                  |
| <input type="checkbox"/> Irritability associated with autistic disorder | <input type="checkbox"/> Treatment-resistant depression |
| <input type="checkbox"/> Major depressive disorder                      | <input type="checkbox"/> Other (Please specify.) _____  |
| <input type="checkbox"/> Psychosis, unspecified                         | _____   |

**Please select previous medication trial(s) as applicable.\***

*\*For children and adolescents < 18 years of age, please document trial of one generic second-generation (atypical) and two other antipsychotics. For adults ≥ 18 years of age, please document trial of two second-generation (atypical) antipsychotics.*

- Trial(s) of second-generation (atypical) antipsychotics (Check all that apply.)†  
 aripiprazole  clozapine  olanzapine  quetiapine  risperidone  ziprasidone  Other \_\_\_\_\_  
*†If requesting aripiprazole for irritability associated with autistic disorder, trial with risperidone alone is sufficient.*
- Trial of other antipsychotics (Please specify below.)  
 Drug name 1 \_\_\_\_\_ Drug name 2 \_\_\_\_\_
- If requesting for major depressive disorder or treatment-resistant depression, please document trial of two antidepressants.  
 Drug name 1 \_\_\_\_\_ Dates/Duration of use \_\_\_\_\_  
 Drug name 2 \_\_\_\_\_ Dates/Duration of use \_\_\_\_\_

**Please select reason(s) for medical necessity as applicable.**

- Member is new to MassHealth and has been previously stabilized on requested medication.
- If requesting for major depressive disorder or treatment-resistant depression, please note if the requested agent will be used as adjunctive therapy with current antidepressant treatment, or provide clinical rationale why the member is not a candidate for antidepressant therapy.  
 \_\_\_\_\_  
 \_\_\_\_\_
- If requesting ODT or solution formulation, please also describe medical necessity for the specific dosage form.  
 \_\_\_\_\_  
 \_\_\_\_\_
- Other, please explain.  
 \_\_\_\_\_  
 \_\_\_\_\_

---

**Section II. Antipsychotic Polypharmacy for members ≥ 18 years of age. Please complete information for medications requested and select the reason for polypharmacy with antipsychotics (two or more first-generation and/or second-generation antipsychotics for ≥ 60 days within a 90-day period).**

1. Antipsychotic name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
2. Antipsychotic name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
3. Antipsychotic name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_

Is member under the care of a psychiatrist?  Yes. Please attach specialist consult details (if the prescriber submitting the request is not a specialist).  No.

For mid-level practitioners (e.g., nurse practitioners, physician assistants), please provide the name and specialty of the collaborating physician.  
 \_\_\_\_\_

- Member was recently discharged from an inpatient setting on requested medications and is currently stable.
- Member experienced an inadequate response or adverse reaction to two monotherapy trials with antipsychotics.  
 Drug name 1 \_\_\_\_\_ Dates/Duration of use (if available) \_\_\_\_\_  
 Drug name 2 \_\_\_\_\_ Dates/Duration of use (if available) \_\_\_\_\_
- Member is transitioning from one antipsychotic to the other.
- Other, please explain. \_\_\_\_\_

---

**Section III. Quantity Limits. Please complete information for medication requested and select the reason for exceeding established quantity limits.**

Drug, dose, and frequency of requested antipsychotic \_\_\_\_\_

- Member is not a candidate for dose consolidation (e.g., olanzapine 5 mg twice daily can be consolidated to olanzapine 10 mg once daily, which does not require PA).
- Other, please describe medical necessity for dosing this medication in a manner which exceeds quantity limits.

\_\_\_\_\_  
\_\_\_\_\_

---

**Section IV. Please complete for all requests for non-preferred drug products if one or more preferred drug products have been designated for this class of drugs.**

If one or more preferred drug products have been designated for this class of drugs, and if you are requesting PA for a non-preferred drug product, please provide medical necessity for prescribing the non-preferred drug product rather than the preferred drug product.

\_\_\_\_\_  
\_\_\_\_\_

---

**MassHealth Pediatric Behavioral Health Medication Initiative**

**Please fill out all the sections below, as applicable, for pediatric members only. You may also use the Pediatric Behavioral Health Medication Initiative PA Request Form if the member is prescribed other behavioral health medications.**

---

**Section I. Please complete for all requests for medications subject to the Pediatric Behavioral Health Medication Initiative for members < 18 years of age.**

Is the member currently in an acute care setting?

- Yes. (Inpatient)  Yes. (Community Based Acute Treatment)
- Yes. (Partial Hospitalization)  No.

For members who are in an acute care setting, please document the outpatient prescriber after discharge.

Prescriber name \_\_\_\_\_ Contact information \_\_\_\_\_

Has the member been hospitalized for a psychiatric condition within the past three months?

- Yes. Please document dates of hospitalization within the past three months.

\_\_\_\_\_  
 No.

On the current regimen, is the member considered to be a severe risk of harm to self or others?

- Yes. Please provide details. \_\_\_\_\_
- No.

For regimens including an antipsychotic, are appropriate safety screenings and monitoring being conducted (e.g., weight, metabolic, movement disorder, cardiovascular, and prolactin-related effects)?

- Yes.  No. Please explain. \_\_\_\_\_

Has informed consent from a parent or legal guardian been obtained?  Yes.  No.

Please indicate prescriber specialty below.

- Psychiatry  Neurology  Other \_\_\_\_\_
- Specialist consult details (if the prescriber submitting the request is not a specialist)

\_\_\_\_\_  
Name(s) of the specialist(s) \_\_\_\_\_ Date(s) of last visit or consult \_\_\_\_\_

Contact information \_\_\_\_\_

For mid-level practitioners (e.g., nurse practitioners, physician assistants), please provide the name and specialty of the collaborating physician.

Please document member custody status.

Parent/Guardian  Department of Children and Families (DCF)

Please document member placement status.

Home with Parent/Guardian  Foster Care  Residential Treatment Facility

Uncertain  Other \_\_\_\_\_

Please document agency involvement.

DCF  Department of Mental Health (DMH)  Department of Developmental Services (DDS)

Department of Youth Services (DYS)

Is the member/family currently receiving appropriate psychotherapeutic and/or community based services for the targeted clinical mental health related concerns (e.g., Applied Behavioral Analysis, Children's Behavioral Health Initiative, school interventions, specialized placement)?

Yes. Please document details of interventions below, if applicable.  No.

Psychiatric care provided is coordinated with other psychotherapeutic and community based services.  Yes.  No.

Is this member a referral candidate for care coordination?  Yes.  No.

If yes, MassHealth will offer this member care coordination services. Please describe which additional behavioral health service would be beneficial.

\* Sample informed consent form available on the MassHealth PBHMI Information webpage. For additional information go to: <https://www.mass.gov/info-details/pediatric-behavioral-health-medication-initiative-pbhmi-information>

## Section II. Antipsychotic Polypharmacy. Complete this section for all members < 18 years of age if request will result in prescription of two or more antipsychotics for ≥ 60 days within a 90-day period.

Please document complete treatment plan (include all antipsychotic agents [first-generation and/or second-generation]).

1. Antipsychotic name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
2. Antipsychotic name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
3. Antipsychotic name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
4. Other(s) \_\_\_\_\_

Please select the stage of treatment and clinical rationale for antipsychotic polypharmacy.

**Acute stage** (initiation of antipsychotic treatment likely with subsequent dose adjustments to maximize response and minimize side effects)

Member experienced an inadequate response or adverse reaction to two monotherapy trials with antipsychotics.

Drug name 1 \_\_\_\_\_ Dates/Duration of use \_\_\_\_\_

Drug name 2 \_\_\_\_\_ Dates/Duration of use \_\_\_\_\_

Member is transitioning from one antipsychotic to the other.

Other, please explain. \_\_\_\_\_

**Maintenance stage** (response to antipsychotic treatment with goal of remission or recovery)

1. Is the regimen effective, therapy benefits outweigh risks, and appropriate monitoring is in place?  
 Yes.  No.

2. Has the member been on the requested regimen for ≥ 12 months?

Yes. Please document clinical rationale for extended therapy.

Previous efforts to reduce/simplify the antipsychotic regimen in the past 24 months resulted in symptom exacerbation.

over

- Family/caregiver does not support the antipsychotic regimen change at this time due to risk of exacerbation.
- Other significant barrier for antipsychotic therapy discontinuation. Please explain.

No.

**Discontinuation stage** (clinically indicated that the antipsychotic regimen can likely be successfully tapered)

Member is transitioning from one antipsychotic to the other.

Member is tapering antipsychotic. Please describe taper plan including duration. \_\_\_\_\_

### Section III. Antipsychotic Request for Members < six years of age.

Please document complete treatment plan (include all antipsychotic agents [first-generation and/or second-generation] with dose/frequency/duration and indication(s) for the requested medication(s)).

Please select the stage of treatment and clinical rationale for use of an antipsychotic for this member < six years of age.

**Acute stage** (initiation of antipsychotic treatment likely with subsequent dose adjustments to maximize response and minimize side effects)

**Maintenance stage** (response to antipsychotic treatment with goal of remission or recovery)

1. Is the regimen effective, therapy benefits outweigh risks, and appropriate monitoring is in place?

Yes.  No.

2. Has the member been on the requested regimen for ≥ 12 months?

Yes. Please document clinical rationale for extended therapy.

Previous efforts to reduce/simplify the antipsychotic regimen in the past 12 months resulted in symptom exacerbation.

Family/caregiver does not support the antipsychotic regimen change at this time due to risk of exacerbation.

Other significant barrier for antipsychotic therapy discontinuation. Please explain.

No.

**Discontinuation stage** (clinically indicated that the antipsychotic regimen can likely be successfully tapered)

Member is transitioning from one antipsychotic to the other.

Member is tapering antipsychotic. Please describe taper plan including duration. \_\_\_\_\_

### Section IV. Multiple Behavioral Health Medications. Complete this section for all members < 18 years of age if request will result in prescriptions of four or more behavioral health medications within a 45-day period. For a complete list of all behavioral health medications, please refer to the MassHealth Pediatric Behavioral Health Medication Initiative.

Please document complete treatment plan (include all behavioral health agents and indication(s) for each medication(s)).

1. Medication name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
2. Medication name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
3. Medication name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
4. Medication name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
5. Medication name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
6. Medication name/dose/frequency \_\_\_\_\_ Indication \_\_\_\_\_
7. Other(s) \_\_\_\_\_

Please document monotherapy trials (include drug name, dates/duration of use, and outcome) tried before prescribing a polypharmacy regimen for this member.\*

---

---

---

Please document the treatment plans for medication regimen simplification (e.g., dose consolidation, frequency reduction) or medical necessity for continuation of a complex medication regimen.

---

---

---

*\* Attach a letter with additional information regarding medication trials as applicable.*

---

**Prescriber information**

Last name\* \_\_\_\_\_ First name\* \_\_\_\_\_ MI \_\_\_\_\_  
NPI\* \_\_\_\_\_ Individual MH Provider ID \_\_\_\_\_  
DEA No. \_\_\_\_\_ Office Contact Name \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
E-mail address \_\_\_\_\_  
Telephone No.\* \_\_\_\_\_ Fax No.\* \_\_\_\_\_

*\* Required*

---

**Prescribing provider's attestation, signature, and date**

I certify under the pains and penalties of perjury that I am the prescribing provider identified in the Prescriber information section of this form. Any attached statement on my letterhead has been reviewed and signed by me. I certify that the medical necessity information (per 130 CMR 450.204) on this form is true, accurate, and complete, to the best of my knowledge. I understand that I may be subject to civil penalties or criminal prosecution for any falsification, omission, or concealment of any material fact contained herein.

Prescribing provider's signature (Signature and date stamps, or the signature of anyone other than the provider, are not acceptable.)

**Signature required** \_\_\_\_\_

Printed name of prescribing provider \_\_\_\_\_ Date \_\_\_\_\_